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**To:** Examiner Jcharnes, P. Mondt  
**Art Unit:** 2826

**From:** Leslie S. Szivos

**Fax:** 1-703-872-9306

**Pages:** 35

**Phone:**

**Date:** July 15, 2005

**Re:** Jack O. Chu, et al.

US Patent App. No. 10/775,514

Filed: 02/10/2004

Docket: YOR820010308US3 (16315B)

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1. Certificate of Transmission by Facsimile (in duplicate)
2. Amendment Transmittal Letter (in duplicate)
3. Response Under 37 C.F.R. §1.111
4. Substitute Information Disclosure Statement
5. Two (2) References (enclosed)

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Appl. cert. (s): Jack O. CHU, et al.

 WORKS NO.  
163158

 Group Art Unit  
2826

 Examiner  
Johannes P. MONDT

 Filing Date  
February 10, 2004

 Application No.  
10/775,514

 Invention: EPIAXIAL AND POLYCRYSTALLINE GROWTH Si-x-y Ge-x-y AND Si-x-y-Cy  
ALLOY LAYERS ON Si BY UHV-CVD

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## CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s): Jack O. CHU, et al.

Docket No.

16315B

Group Art Unit  
2826Examiner  
Johannes P. MONDTFiling Date  
February 10, 2004Application No.  
10/775,514Invention: EPITAXIAL AND POLYCRYSTALLINE GROWTH Si-x-y Ge-x-y AND Si1-yCy  
ALLOY LAYERS ON Si BY UHV-CVD

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## AMENDMENT TRANSMITTAL LETTER (Large Entry)

Docket No.

YOR930010308US3 (16315B)

Applicant(s): Jack Ooa. CHU, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10775,514	Feb. 10, 2004	Johannes P. Mandt	23389	2826	1038

Invention: EPITAXIAL AND POLYCRYSTALLINE GROWTH OF Si1-x-yGeCy AND Si1-yCy ALLOY LAYERS ON Si BY UHV-CVD

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## COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

TOTAL CLAIMS	C-CLAIMS REMAINING AFTER AMENDMENT	PREV. PAID FOR CLAIMS PRESENT	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
32	32	31	1	X \$50.00	\$50.00
INDEP. CLAIMS	4	3	1	X \$200.00	\$200.00
Multiple Dependent Claims (check 1 applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$250.00

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Dated: July 15, 2005

Signature

Leslie S. Szivos, Ph.D.  
Reg. No. 39,394

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## AMENDMENT TRANSMITTAL LETTER (Large Entity)

Docket No.

YOR920010308US3 (16315B)

Applicant(s): Jack Oon. CHU, et al.

Application No. 10775514	Filing Date Feb. 10, 2004	Examiner Johannes P. Mondt	Customer No. 23389	Group Art Unit 2826	Confirmation No. 1838
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Invention: EPTAXIAL AND POLYCRYSTALLINE GROWTH OF Si-L-x-y-Ge-Cy AND  
Si-L-y-Cy ALLOY LAYERS ON Si BY UHV-CVD

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## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	32	31	1	x \$50.00	\$50.00
INDEP. CLAIMS	4	3	1	x \$200.00	\$200.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$250.00

☐ No additional fee is required for amendment.

☒ Please charge Deposit Account No. 50-0510/IBM in the amount of \$250.00

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07/19/2005  
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Reg. No. 39,394

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